



Register of Environmental Legislation and Obligations for a Flour Milling Company

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1. Introduction

This document contains the Register of Legislation and Obligations associated with the activities, aspects and impacts identified as being relevant to a flourmill.

The types of legislation considered in this register are:

- **UK Acts of Parliament** – Major statutes under which other legislation can be enacted
- **UK Legislation** – Statutory Instruments etc, which are legislation enacted under Acts of Parliament
- **European Union Regulations** – *These lay down the same law throughout the EU and apply directly to all Member States*

To allow for regulatory differences around the UK, part 2 of this document contains three separate Registers of Legislation tailored for:

- England and Wales
- Scotland
- Northern Ireland

The legislation has been grouped by aspect and also has a section containing general environmental legislation, for example the Environmental Permitting Regulations 2010.

These registers have been designed to help you meet the requirements of a standard EMS. Part 3 of this document contains a few examples of a more detailed layout of a register you may wish to use should you be working towards EMAS or ISO14001.

1.1. How to complete your register of legislation

No mill will have exactly the same legislative requirements as they will vary according to the company size, location etc. You will need to review the acts and legislation in the appropriate register in part 2 to tailor it to your own company.

To facilitate this, the first column in the table contains some guidance questions to help you decide if the legislation is relevant to you. Answer yes to any of these and the legislation is likely to be relevant to you. You should then check with your local regulator to verify the exact requirements you will need to meet.

Please think carefully before removing any of the legislation. Particular care should be taken by those regulated under Environmental Permitting and Integrated Pollution Control (IPC)/ (IPPC) Integrated Pollution Prevention and Control to ensure that you capture areas falling outside the scope of your applications – this is explained in more detail below.

You will also need to make the third column “**Applicable to which process/activity**” relevant to your own situation and complete the remaining columns – **location of document, person responsible for compliance**, and **related procedures**.

To meet the document control requirements of ISO14001 and EMAS, this document should have an owner, revision date and issue number. It is also useful to have a distribution list to ensure that all old copies can be easily retrieved.

2. Register of Legislation (changes in bold or **bold highlighted**)

2.1. England and Wales

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
General Environmental Legislation					
Does your company carry out PPC listed processes?	<ul style="list-style-type: none"> EU Industrial Emissions Directive 2010/75 (IED) The Environmental Permitting (England and Wales) Regulations 2016 (SI 2016/1154) 	Any IPPC/LA-IPPC/LAPPC listed process carried out at your location			
	<ul style="list-style-type: none"> Town and Country Planning Act 1990 as amended 	Site extension / new build.			
Are you planning to extend your facilities / build new facilities?	<ul style="list-style-type: none"> Town and Country Planning (Assessment of Environmental Effects) Regulations 1998 (SI 1998/1199) (as amended SI 1990/367, SI 1992/1494, SI 1994/667, SI 2016/332, SI 2016/1040) 	Site extension/ Large complex development			
	<ul style="list-style-type: none"> Town and Country Planning (Environmental Assessment and Permitted Development) Regulations 1995 (SI1995/417) 	Site extension / Large complex development.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	<ul style="list-style-type: none"> The Town and Country Planning (Environmental Impact Assessment) (England and Wales) Regulations 1999 (SI1999/293) as amended SI 2000/2867, SI 2006/3009, SI 2006/3295, SI 2008/2093, SI 2008/2335 as amended SI 2015/660 	Site extension / Large complex development.			
	<ul style="list-style-type: none"> Town and Country Planning (Environmental Assessment and Unauthorised Development) Regulations 1995 (SI1995/2258) 	Site extension / Large development.			

Aspect 1: Waste Management					
Do your operations produce waste materials?	<ul style="list-style-type: none"> Waste (England & Wales) Regulations 2011 SI 988, as amended 2012 SI 1889, 2014 SI 656, SI 2015/1360 and SI 2015/1417 (W.1410) The Unauthorised Deposit of Waste (Fixed Penalties) Regulations 2016 (SI 2016/334) 	Any part of the operations resulting in the production of waste. Requires businesses to apply the waste management hierarchy, introduces a two-tier system for waste carrier and broker registration. Covers the provision and keeping of waste transfer notes.			
	<ul style="list-style-type: none"> The Controlled Waste (England and Wales) Regulations 2012 SI 811 The Controlled Waste (England and Wales) (Amendment) Regulations 2012 SI 2320 as amended SI 2015/1360, SI 2015/1417 (W.1410) 	Any part of the operations resulting in the production of waste. Defines controlled wastes (along with the Environmental Protection Act 1990).			
Do your operations result in the production of wastes classified as “hazardous”? Examples include batteries, oils and oil filters, boiler dust and waste thermal fluids, paint and ink, chemical wastes, solvents, and special waste empty containers containing 0.01% or more of the original contents.	<ul style="list-style-type: none"> The Hazardous Waste (England and Wales) Regulations 2005 SI 894 as amended 2009 SI 507 as amended SI 2015/1360, SI 2016/241 Waste Batteries and Accumulators Regulations 2009 SI 890 as amended SI 2015/1360, SI 2015/1935 The Batteries and Accumulators (Placing on the Market) Regulations 2008 SI 2164 as amended 2012 SI 1139 SI 2015/63, SI 2015/1360 	Waste chemicals or substances and their containers used during the Quality checks and along the production line. Waste oils from hydraulic equipment or from vehicle maintenance. Boiler dust and waste thermal fluids. Waste batteries (vehicle & portable)			

<p>Do you spread waste food or sludge from your effluent treatment plant on land? Or do you use a waste compactor? If yes you may require a license/permit – although there are exceptions to this rule.</p>	<ul style="list-style-type: none"> The Environmental Permitting (England and Wales) Regulations 2016 SI 2106/1154) 	<p>Spreading of sludge on land.</p> <p>Use of a waste compactor.</p>			
<p>Do you dispose of waste to landfill?</p>	<ul style="list-style-type: none"> The Landfill Tax Regulations 1996 as amended 2013 SI 658, SI 2016/376 	<p>Waste disposal to landfill activities.</p>			
<p>Do you dispose of waste to landfill?</p>	<ul style="list-style-type: none"> Packaging (Essential Requirements) Regulations 2015 (SI 2015/1640) 	<p>Activities associated with packaging of goods.</p>			
<p>Do you manufacture, convert, pack/fill, sell or import more than 50 tonnes of packaging or packaging material per year, and have a turnover of more than £2m per year?</p>	<ul style="list-style-type: none"> The Producer Responsibility Obligations (Packaging Waste) Regulations 2007 as amended SI 2008/1941, SI 2010/2849, SI 2012/3082, SI 2013/1857, SI 2014/2890, SI2016/241, (SI2016/1146) 				
<p>Do you have waste electrical and electronic equipment? (WEEE)</p>	<ul style="list-style-type: none"> WEEE Directive 2012/19 The Waste Electrical and Electronic Equipment Regulations 2013 (SI 2013/3113) as amended SI 2014/1771, SI 2015/1968, 	<p>Waste management WEEE.</p> <p>Prioritise reuse of WEEE</p> <p>Send WEEE to authorised facilities.</p> <p>Reduce the amount of WEEE sent to landfill.</p>			
<p>Do you dispose of waste?</p>	<ul style="list-style-type: none"> Waste Enforcement (England and Wales) Regulations 2018 	<p>These regulations amend the Environmental Protection Act 1990 and the Environment Act 1995 for England and Wales in</p>			

		<p>connection with the EU Waste Directive (2008/98/EC). Regulation 2 amends the Environmental Protection Act 1990 by inserting ss.59ZB and 59ZC, which give a waste regulation authority or waste collection authority the power to issue a notice in respect of waste which is unlawfully kept or disposed of in or on land within the authority's area.</p>			
Aspect 2: Energy					
Are you a "large undertaking?"	<ul style="list-style-type: none"> The Energy Savings Opportunity Scheme Regulations 2014 (SI 2014/1643) as amended 2015 	All company/work activities that consume energy such as transport, industrial processes and buildings.			
Are you involved in the construction or refurbishment of a building at your location?	<ul style="list-style-type: none"> The Climate Change Levy (General) Regulations 2001 (SI 2001/838) as amended SI 2007/2903, SI 2010/643, SI 2011/684, SI 2012/943, SI 2012/3049, SI 2013/713, SI 2013/1716, SI 2015/947 	Use and tracking of energy consumption.			
	<ul style="list-style-type: none"> The Building Regulations 2010 (SI 2010/2214) as amended SI 2011/1515, SI 2012/718, SI 2013/10, SI 2013/747, SI 2013/1105, SI 2013/1959, SI 2013/2621, SI 2014/110, SI 2014/579, SI 2014/2362, SI 2015/767, SI 2015/1486 (w.165), 	Construction or refurbishment of a building and the provision for the conservation of energy.			

	SI 2016/285, SI 2016/490, (SI 2016/611(W.168)				
Is your electricity metered by at least one half hourly meter (HHM) and you buy on the half hourly market? And the total supply of half hourly metered electricity in 2008 was at least 6,000 megawatt-hours (MWh)?	<ul style="list-style-type: none"> The CRC Energy Efficiency Scheme Order 2013 (SI 2013/1119) as amended SI 2014/502 <p>Budget changes in 2016 announced the CRC scheme will be abolished after the next compliance period 2018/19.</p>	Energy Consumption throughout the organisation above a certain threshold and charges for allowances.			
Do you lease any buildings to tenants or are planning to sell any buildings?	<ul style="list-style-type: none"> The Energy Act 2011 and 2013 and Commencement Orders The Energy Performance of Buildings (England and Wales) Regulations 2012 (SI 2012/3118) as amended SI 2013/10, SI 2013/603, SI 2014/880, SI 2015/609, SI 2015/168, SI 2016/284, SI 2016/888 	<p>Leasing of buildings to tenants and selling of buildings.</p> <p>If you have air conditioning system with output greater than 12 KW or ensure there is an up to date inspection report.</p> <p>If premises are constructed, sold, rented or modified (in some ways), then it must have an energy performance certificate (EPC) from an accredited assessor.</p>			
Do you participate in the Climate Change Levy Agreement?	<ul style="list-style-type: none"> The Climate Change Agreements (Eligible Facilities) Regulations 2012 (SI 2012/2999) as amended SI 2014/1318 SI 2016/738 Climate Change Agreements (Administration) Regulations 2012 SI 1976 as amended 2014/2872, SI 2016/1189 The Climate Change Levy (General) Regulations 2001 (SI 	Use of large quantities of energy or savings in greenhouse gas emissions to trade			

	2001/838) as amended SI 2007/2903, SI 2010/643, SI 2011/684, SI 2012/943, SI 2012/3049, SI 2013/713, SI 2013/1716, SI 2015/947				
Do you participate in the Greenhouse Gas Emissions Trading Scheme?	<ul style="list-style-type: none"> The Greenhouse Gas Emissions Trading Scheme Regulations 2012 (SI 2012/3038) as amended SI 2013/1037, SI 2013/3135, SI 2014/3125 	Use of large quantities of energy or savings in greenhouse gas emissions to trade			
Are you planning to install plant and machinery?	<ul style="list-style-type: none"> Capital Allowances (Energy-saving Plant and Machinery) Order 2018 	The 100% first year scheme encourages business to invest in energy saving plant and machinery.			
Do you participate in the CRC Energy Efficiency Scheme?	<ul style="list-style-type: none"> CRC Energy Efficiency Scheme (Revocation and Savings) Order 2018 	This Order revokes in the UK the CRC Energy Efficiency Scheme Order 2013 (SI 2013 No. 1119) with savings and amends the CRC Energy Efficiency Scheme Order 2010 (SI 2010 No. 768)			
Aspect 3: Water					
Do you abstract water?	<ul style="list-style-type: none"> Water Resources Act 1991 Water Act 2003 	Abstraction and use of water.			
Do you utilise a private water supply?	<ul style="list-style-type: none"> Private Water Supplies (England) Regulations 2016 Private Water Supplies (Wales) Regulations 2017 	Concerns water 'wholesomeness' for human consumption			
Do you use water?	<ul style="list-style-type: none"> Water Supply (Water Quality) Regulations 2018 	These regulations are primarily concerned with the quality of water supplied by water undertakers whose areas are wholly or mainly in Wales (and			

		water supply licensees using the supply systems of such undertakers) for drinking, washing, cooking and food preparation, and for food production, and with arrangements for the publication of information about water quality.			
Aspect 4: Chemicals					
Do you store and use chemicals?	<ul style="list-style-type: none"> Control of Substances Hazardous to Health (COSHH) Regulations 2002, SI 2677 as amended 	Chemicals stored on site and used during the product quality checks and other parts of the production process.			
	<ul style="list-style-type: none"> Food and Environment Protection Act 1985 	Fumigation of pests.			
	<ul style="list-style-type: none"> European Community Regulation on Classification, Labelling and Packaging of Substances and Mixtures (CLP Regulation) 1272/2008 Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulations (EC 1907/2006) as amended EU 453/2010, EU 143/2011, EU 252/2011, EU 253/2011, EU 366/2011, EU 494/2011, EU 895/2014, EU 1494/2015 REACH Enforcement Regulations 2008 SI2852 as amended SI 2013/2919, SI 2014/2882 	<p>Hazardous substances and mixtures must be classified, labelled and packaged correctly.</p> <p>Chemicals stored on site and used during the product quality checks and other parts of the production process.</p>			

<p>Do your activities and processes produce flour dust?</p>	<ul style="list-style-type: none"> • Dangerous Substances and Explosive Atmospheres Regulations 2002 SI 2776 	<p>Processes and activities that involve or produce “dangerous substance” including explosive mixture of dust, gas, vapour or mist with air.</p> <p>Eliminate or reduce risks to safety from fire, explosion or other events.</p>			
<p>Do you use methyl bromide or any similar product?</p>	<ul style="list-style-type: none"> • Control of Pesticides Regulations 1986 amended 1997 SI 188 • The Control of Pesticides Regulations 1986 as amended SI 1997/188 • Commission Regulation (EU) 1087/2013 amending Regulation (EC) No 1005/2009 on substances that deplete the ozone layer as amended 744/2010, 291/2011 	<p>Fumigation of pests.</p>			
	<ul style="list-style-type: none"> • The Plant Protection Products (Sustainable Use) Regulations 2012 SI 1657 • Plant Protection Products Regulations 2011 SI 2131 	<p>Fumigation of pests.</p>			
<p>Do you use electrical and electronic equipment (EEE) in your business?</p>	<ul style="list-style-type: none"> • Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment Regulations 2012 as amended SI 2014/1771 	<p>Use of electrical and electronic equipment.</p> <p>Ensure electrical and electronic equipment does not contain more than the specified levels of lead, cadmium, mercury and other hazardous substances set out in RoHS.</p>			

<p>Do you use mercury in your equipment or processes?</p>	<ul style="list-style-type: none"> Control of Mercury (Enforcement) Regulations 2017 	<p>Use of any mercury in operations and equipment.</p>			
<p>Aspect 5: Fuel</p>					
<p>Do you store oil (such as petrol, diesel, vegetable, synthetic or mineral oil) in a container with a storage capacity of over 200 litres (44 gallons)?</p> <p>Do you store or expect to store more than 50 tonnes of Liquid Petroleum Gas (LPG)?</p>	<ul style="list-style-type: none"> Control of Pollution (Oil Storage)(England) Regulations 2001 The Control of Major Accident Hazards Regulations 2015 (COMAH) (SI 2015/483) as amended SI 2015/1393 The Heavy Fuel Oil (Amendment) Regulations 2014 (SI 2014/162) 	<p>Oil storage.</p>			
		<p>LPG storage.</p>			
<p>Aspect 6: Raw Materials</p>					
<p>Covered under other Aspects</p>					
<p>Aspect 7: Transport</p>					
<p>Do you transport waste materials?</p>	<ul style="list-style-type: none"> Waste (England & Wales) Regulations 2011 SI 988, as amended 2012 SI 1889, 2014 SI 656, SI 2015/1360 and SI 2015/1417 (W.1410), (SI 2016/691 (W.189)), SI 2016/738) 	<p>Transportation of controlled wastes and the registration of waste carriers. Brokers and dealers.</p>			
<p>Aspect 8: Air Quality</p>					
<p>Do your operations result in the generation of dust or smoke?</p> <p>Do you release any other emissions to the atmosphere?</p>	<ul style="list-style-type: none"> Environment Act 1995 Clean Air Act 1993 as amended 	<p>General operations e.g. boiler</p>			
<p>Do you operate any cooling towers?</p>	<ul style="list-style-type: none"> Notification of Cooling Towers and Evaporative Condensers Regulations 1992 SI 2225 	<p>Cooling tower operation.</p>			

Aspect 9: Noise and Vibration					
Do your operations (e.g. your boiler) result in noise that can be heard at the site boundaries –have you had any noise complaints from neighbouring locations?	<ul style="list-style-type: none"> Noise and Statutory Nuisance Act 1993 Environmental Protection Act 1990 Clean Neighbourhoods and Environment Act 2005 	General operations.			
Are you located in Wales and are planning construction works?	<ul style="list-style-type: none"> Noise from Audible Intruder Alarms (Wales) (Revocation) and Control of Noise (Codes of Practice for Construction and Open Sites) (Wales) Order 2017 	This Order approves the two parts of the British Standards Institution (BSI) Code of Practice for noise and vibration control on construction and open sites (BS 5228). Open sites are defined in the Code as sites where there is significant outdoor excavation, levelling or deposition of material. In addition, it revokes the Control of Noise (Codes of Practice for Construction and Open Sites) (Wales) Order 2002 (SI 2002 No. 1795) and the Control of Noise (Code of Practice on Noise from Audible Intruder Alarms) Order 1981 (SI 1981 No. 1829).			
Aspect 10: Discharge to Water					
Do you, or could you potentially discharge any substances listed in this regulation to groundwater?	<ul style="list-style-type: none"> Environmental Permitting (England and Wales) Regulations 2016 SI 2016/1154) Water Resources Act 1991 	Discharges to groundwater.			

<p>Do you discharge any sewage, trade effluent or contaminated surface water to “controlled waters”?</p>	<ul style="list-style-type: none"> • Environmental Permitting (England and Wales) Regulations 2016 SI 2016/1154) • Water Industry Act 1991 as amended 1999 	<p>Discharges to surface waters i.e. streams, rivers If the organisation has an Environmental Permit, then the discharge consent would be included as part of the Environment Permit requirements.</p>			
<p>Do you discharge to sewers?</p>	<ul style="list-style-type: none"> • Trade Effluent (Prescribed Processes and Substances) Regulations 1989 (SI 1989/1156) as amended SI 1990/1629, SI 1992/339 	<p>Discharge of trade effluents to sewers.</p>			
<p>Do you discharge any substance listed in this regulation to a public sewer?</p>	<ul style="list-style-type: none"> • Trade Effluent (Prescribed Processes and Substances) Regulations 1989 (SI 1989/1156) as amended SI 1990/1629, SI 1992/339 	<p>Discharge of trade effluents to sewers.</p>			
<p>Aspect 11: Land Contamination</p>					
<p>Could any of your land be classified as contaminated?</p>	<ul style="list-style-type: none"> • Environment Act 1995 • Environmental Protection Act 1990 • Contaminated Land (England) Regulations 2006 SI 1380 as amended SI 2012/263 • Contaminated Land (Wales) Regulations 2006 SI 2989 • The Environmental Damage (Prevention and Remediation) Regulations 2015 as amended • Environmental Civil Sanctions (England) Order 2010 SI 1157 • The Environmental Civil Sanctions (Miscellaneous Amendments) (England) Regulations 2010 SI 1159 	<p>Current operations. Legacy operations.</p>			

Aspect 12: Visual Amenity/Impact					
Are you planning/currently extending or refurbishing your operations?	<ul style="list-style-type: none"> Clean Neighbourhoods and Environment Act 2005 	Refurbishment/extension of operations.			
Do your operations / construction works cause noise, litter, insects and other general nuisance?	<ul style="list-style-type: none"> Clean Neighbourhoods and Environment Act 2005 Environmental Protection Act 1990 				
Aspect 13: Odours					
Do your operations (e.g. your boiler) result in odours that can be smelt at the boundaries of the site – and have you received any complaints?	<ul style="list-style-type: none"> Environmental Protection Act 1990 Public Health Act 1936 Statutory Nuisance (Appeals) Regulations 1995, SI 2644 	General operations			
Do you have any pond, pool, ditch, gutter or watercourse that is so foul or in such a state to be prejudicial to health or a nuisance?	<ul style="list-style-type: none"> Clean Neighbourhoods and Environment Act 2005 	General operations			
Do your operations cause noise, litter, insects and other general nuisance?	<ul style="list-style-type: none"> Clean Neighbourhoods and Environment Act 2005 				
Aspect 14: Hazardous Materials Within Buildings					
Do you have any prescribed ozone depleting gases that are due to be phased out?	<ul style="list-style-type: none"> Regulation (EC) No 1005/2009 of on substances that deplete the ozone layer as amended 744/2010, 291/2011, 1087/2013 The Ozone-Depleting Substances Regulations 2015 (SI 2015/168) Fluorinated Greenhouse Gas Regulations 2015 SI 310 	Topping up or maintaining refrigeration and air conditioning systems with HCFCs			
Do you use of HCFCs in refrigeration or air conditioning systems? HCFCs are now general	<ul style="list-style-type: none"> As Row Above 	Installing , topping up or maintaining refrigeration and air conditioning systems with HCFCs			

banned for use in new equipment and use in existing equipment will be phased out in the future.					
Do you have asbestos at your location?	<ul style="list-style-type: none"> The Control of Asbestos Regulations 2012 	Refurbishment of old buildings			
Do you have any PCBs at your location?	<ul style="list-style-type: none"> Environment and Rural Affairs (Miscellaneous Revocations) Regulations 2015 	Decommissioning of old equipment.			
Aspect 15: Ecology					
Are you situated near a site classified as a site of special scientific interest (SSSI)? Could your operations – current or planned interfere with these sites?	<ul style="list-style-type: none"> Wildlife and Countryside Act 1981 as amended SI 2010/609, SI 2011/2015, SI 2014/538, SI 2015/1180 W.78 (SI 2016/127) The Countryside and Rights of Way Act 2000 The Environmental Damage (Prevention and Remediation) Regulations 2015 (SI 2015/810) as amended SI 2015/1391 Environmental Civil Sanctions (England) Order 2010 SI 1157 Environmental Civil Sanctions (miscellaneous amendments) (England) Regulations 2010 SI 1159 The Conservation of Habitats and Species Regulations 2010 (SI 2010/490) as amended SI 2012/1927, SI 2015/2020 	Current or planned operations.			
Are you situated near the coast?	<ul style="list-style-type: none"> Conservation of Habitats and Species Regulations 2017 	Current or planned operations.			

<p>Do you have Japanese knotweed, giant hogweed and other invasive plants on your land or property?</p>	<p>As above row plus:</p> <ul style="list-style-type: none"> • Ragwort Control Act 2003 	<p>Your land and premises.</p> <p>Code of practice for dealing with ragwort</p> <p>Land occupiers can be ordered to take action against injurious weeds.</p> <p>Adds new species of plants that cannot be planted.</p>			
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2.2. Northern Ireland

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
General Environmental Legislation					
Does your company carry out any processes listed under these regulations?	<ul style="list-style-type: none"> • EU Industrial Emissions Directive 2010/75 (IED) • Pollution Prevention and Control (Industrial Emissions) Regulations (Northern Ireland) 2012 SR453 as amended 2013 SR160, 2014 SR304, 2016 SR309 • Environmental Better Regulation Act (Northern Ireland) 2016 	Listed operations.			
Are you planning to extend your facilities?	<ul style="list-style-type: none"> • The Planning (Environmental Impact Assessment) Regulations (Northern Ireland) 1999 SR No. 73 as amended 2012 SR 59 	Site extension			
Aspect 1: Waste Management					

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Do your operations produce waste materials?	<ul style="list-style-type: none"> • Waste and Contaminated Land (Northern Ireland) order 1997, SI 2778 • Waste and Contaminated Land (Amendment) Act (Northern Ireland) 2011 • The Controlled Waste (Duty of Care) Regulations (Northern Ireland) 2013 SR 255, as amended 2014 SR117 • Waste (Fees and Charges) (Amendment) Regulations (Northern Ireland) 2016 SR 401 • Waste (Northern Ireland) Regulations 2011 SR 127 as amended 2013 SR 241 • The Food Waste Regulations (Northern Ireland) 2015 	<p>Any part of the operations resulting in the production of waste.</p> <p>Covers the provision and keeping of waste transfer notes. Defines controlled wastes.</p> <p>Apply the waste management hierarchy</p> <p>Two tier waste carrier registration.</p> <p>No co-mingled collection of waste.</p> <p>Food waste to be collected separately</p> <p>Fees and charges for processing registration as waste carriers or others increased</p>			
Do your operations result in the production of wastes classified as “hazardous”? Examples include batteries, oils and oil filters, paint and ink, chemical wastes and solvents.	<ul style="list-style-type: none"> • The Hazardous Waste Regulations (Northern Ireland) 2005 SR 300 as amended 2005 SR 461 as amended 2015 SR 238 and SR 288 • Waste Batteries and Accumulators Regulations 2009 SI 890 as amended 2015 SI1935 	<p>Waste chemicals and their containers used during the QA checks and along the production line.</p> <p>Waste oils from hydraulic equipment or from vehicle maintenance.</p>			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	<ul style="list-style-type: none"> The Batteries and Accumulators (Placing on the Market) Regulations 2008 SI 2164 as amended 2012 SI 1139 as amended 2015 SI 63 				
Do you spread waste food or sludge from your effluent treatment plant on land? Or do you use a waste compactor? If yes you may require a license – although there are exceptions to this rule.	<ul style="list-style-type: none"> Waste Management Licensing Regulations (Northern Ireland) 2003 (SR 2003/493) as amended 2009 SR76, 2011 SR403 and 2015 SR301 and SR386, 2016 SR 96 	<p>Spreading of sludge on land.</p> <p>Use of a waste compactor.</p>			
Do you pack/fill packaging with product?	<ul style="list-style-type: none"> Packaging (Essential Requirements) Regulations 2015 SI 1640 	Activities associated with packaging of goods.			
Do you manufacture, convert, pack/fill, sell or import more than 50 tonnes of packaging or packaging material per year, and have a turnover of more than £2m per year?	<ul style="list-style-type: none"> Producer Responsibility Obligations (Packaging Waste) Regulations (Northern Ireland) 2007 SR 198 as amended 2008 SR 77, 2008 SR373, 2010 SR 396, 2012 SR 437, 2013 SR 262 2016 SR 241, 2016 SR 79, 2017 SR3 	Activities associated with packaging of goods.			
Do you have waste electrical and electronic equipment? (WEEE)	<ul style="list-style-type: none"> WEEE Directive 2012/19 Waste Electrical and Electronic Equipment (Charges) Regulations (Northern Ireland) 2014 SR 202 The Waste Electrical and Electronic Equipment Regulations 2013 (SI 2013/3113) as amended SI 2014/1771 as amended 2015 SI 1968 	<p>Waste management of WEEE.</p> <p>Prioritise reuse of WEEE.</p> <p>Send WEEE to authorised facilities.</p> <p>Reduce the amount of WEEE sent to landfill.</p>			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Aspect 2: Energy					
Are you a “large undertaking?” See Section 2 Review Record for more details	<ul style="list-style-type: none"> The Energy Savings Opportunity Scheme Regulations 2014 (SI 2014/1643) as amended 2015 	All company/work activities that consume energy such as transport, industrial processes and buildings.			
Are you involved in the construction or refurbishment of a building at your location?	<ul style="list-style-type: none"> The Building Regulations (Northern Ireland) 2000, SI 389 	Construction or refurbishment of a building and the provision for the conservation of energy.			
Do your buildings or activities fall under Climate change levy agreements?	<ul style="list-style-type: none"> Climate Change Act 2008 Climate Change Agreements (Eligible Facilities) Regulations 2001 SI 662 (as amended) 	Energy Intensive processes or buildings			
Is your electricity metered by at least one half hourly meter (HHM) and you buy on the half hourly market? And the total supply of half hourly metered electricity in 2008 was at least 6,000 megawatt-hours (MWh)?	<ul style="list-style-type: none"> CRC Energy Efficiency Scheme Order 2010 SR 768 as amended 2011 SI 234 CRC Energy Efficiency Scheme Order 2013 SI 1119 	Energy Consumption throughout the organisation.			
Do you participate in the Greenhouse Gas Emissions Trading Scheme?	<ul style="list-style-type: none"> Greenhouse Gas Emissions Trading Scheme Regulations 2012 SI 3038 	Use of large quantities of energy or savings in greenhouse gas emissions to trade.			
Do you lease buildings to tenants or are selling a building?	<ul style="list-style-type: none"> Energy Performance of Buildings (Certificates and Inspections) Regulations (Northern Ireland) 2008 SR 170 as amended 2013 SR 12 as amended 2014 SR 43 	Selling or leasing buildings.			
Are you planning to	<ul style="list-style-type: none"> Capital Allowances (Energy- 	The 100% first year			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
install plant and machinery?	saving Plant and Machinery) Order 2018	scheme encourages business to invest in energy saving plant and machinery.			
Do you participate in the CRC Energy Efficiency Scheme?	<ul style="list-style-type: none"> • CRC Energy Efficiency Scheme (Revocation and Savings) Order 2018 	This Order revokes in the UK the CRC Energy Efficiency Scheme Order 2013 (SI 2013 No. 1119) with savings and amends the CRC Energy Efficiency Scheme Order 2010 (SI 2010 No. 768)			
Aspect 3: Water					
Do you abstract water?	<ul style="list-style-type: none"> • Water (Northern Ireland) Order 1999 SI 662 including amendments up to 2004 • Water Abstraction and Impoundment (Licensing) Regulations (Northern Ireland) 2006 SR 482 • Water and Sewerage Services (Miscellaneous Provisions) (Northern Ireland) Order 2006 SI 1946 • Water Resources (Environmental Impact Assessment Regulations (Northern Ireland) 2005 SR32 • Water Resources (Environmental Impact Assessment (Amendment) Regulations (Northern Ireland) 2006 SR483 • Water Framework Directive (Priority Substances and 	Abstraction, use and classification of water.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	Classification) Regulations (Northern Ireland) SR 2011/10 as amended 2012 SR 442				
Do you utilise a private water supply?	<ul style="list-style-type: none"> • Private Water Supplies Regulations (Northern Ireland) 2017 	Concerns water 'wholesomeness' for human consumption			
Do you use water?	<ul style="list-style-type: none"> • Water Supply (Water Quality) Regulations (Northern Ireland) 2017 	These regulations are primarily concerned with the quality of water supplied in Northern Ireland by the water provider for drinking, washing, cooking and food preparation, and for food production, and with arrangements for the publication of information about water quality.			
Aspect 4: Chemicals					
Do you store and use chemicals?	<ul style="list-style-type: none"> • European Community Regulation on Classification, Labelling and Packaging of Substances and Mixtures (CLP Regulation) 1272/2008 • Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulations (EC 1907/2006) as amended EU 453/2010, EU 143/2011, EU 252/2011, EU 253/2011, EU 366/2011, EU 494/2011, EU 895/2014, EU 1494/2015 	<p>Hazardous substances and mixtures must be classified, labelled and packaged correctly.</p> <p>Chemicals stored on site and used during the product quality checks and other parts of the production process.</p>			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	<ul style="list-style-type: none"> REACH Enforcement Regulations 2008 SI2852 as amended SI 2013/2919 SI 2014/2882 				
Do you use methyl bromide or any similar product?	<ul style="list-style-type: none"> Food and Environment Protection Act 1985 Control of Pesticides Regulations (Northern Ireland) 1987 SR 414 Control of Pesticides (Amendment) Regulations (Northern Ireland) 1997 SR 469 Plant Protection Products Regulations (Northern Ireland) 1995 SR 371, as amended up to 2012 Commission Regulation (EU) 1087/2013 amending Regulation (EC) No 1005/2009 on substances that deplete the ozone layer as amended 744/2010, 291/2011 	Fumigation of pests.			
Do you store and use chemicals?	<ul style="list-style-type: none"> Control of Substances Hazardous to Health Regulations (Northern Ireland) 2003 SR 34 amended 2003 SR 288 and 2005 SR 165 	Chemicals stored on site and used during the product quality checks and other parts of the production process.			
Do your activities and processes produce flour dust?	<ul style="list-style-type: none"> Dangerous Substances and Explosive Atmospheres Regulations (Northern Ireland) 2003 SR 152 	Processes and activities that involve or produce "dangerous substance" including explosive mixture of dust, gas, vapour or mist with air.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
		Eliminate or reduce risks to safety from fire, explosion or other events.			
Do you use electrical and electronic equipment (EEE) in your business?	<ul style="list-style-type: none"> The Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment Regulations 2012 (SI 2012/3032) as amended SI 2014/1771 	Use of electrical and electronic equipment. Ensure electrical and electronic equipment does not contain more than the specified levels of lead, cadmium, mercury and other hazardous substances set out in RoHS.			
Do you use mercury in your equipment or processes?	<ul style="list-style-type: none"> Control of Mercury (Enforcement) Regulations 2017 	Use of any mercury in operations and equipment.			
Aspect 5: Fuel					
Do you store or expect to store more than 50 tonnes of Liquid Petroleum Gas (LPG) or more than 200ltrs of oil?	<ul style="list-style-type: none"> The Control of Major Accident Hazards Regulations (Northern Ireland) 2015 SR 325 The Planning (Control of Major-Accident Hazards) Regulations (Northern Ireland) 2009 SR329 Control of Pollution (Oil Storage) Regulations (Northern Ireland) 2010 SR 42 as amended 2011 SR 385 	LPG & Oil Storage.			
Aspect 6: Raw Materials					
Covered under other Aspects					

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Aspect 7: Transport					
Do you transport waste materials?	<ul style="list-style-type: none"> The Controlled Waste (Registration of Carriers and Seizure of Vehicles) Regulations (NI) 1999, SR 362 Waste (Fees and Charges) (Amendment) Regulations (Northern Ireland) 2016 SR 401 Controlled Waste (Seizure of Property) Regulations (Northern Ireland) 2013 SR 260 Waste (Northern Ireland) Regulations 2011 SR 127 as amended 2013 SR 241 	Transportation of controlled wastes and the registration of waste carriers. Do not co-mingle waste Fees and charges for processing registration as waste carriers or others increased			
Aspect 8: Air Quality					
Do your operations release dust, smoke etc emissions to the atmosphere?	<ul style="list-style-type: none"> Clean Air (Northern Ireland) Order 1981 (SI 158, NI 4) Including amendments Environmental Better Regulation Act (Northern Ireland) 2016 Clean Air (Emission of Dark Smoke) Regulations 1981 340 Smoke Control Areas (Authorised Fuels) Regulations (Northern Ireland) SR 2013/205 and 2015 SR 367 	General operations e.g. boilers, flour dust.			
Do you operate cooling towers?	<ul style="list-style-type: none"> Notification of Cooling Towers and Evaporative Condensers Regulations (Northern Ireland) 1994 SR 38 	Operation of cooling towers			
Do you use fossil fuels?	<ul style="list-style-type: none"> Emissions Performance Standard Monitoring and 	The Energy Act 2013 legislated for an			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	Enforcement Regulations (Northern Ireland) 2016	Emissions Performance Standard, imposing an emissions limit duty on operators of fossil fuel plants which were granted planning consent on or after 18 February 2014			
Aspect 9: Noise and Vibration					
Do your operations result in noise that can be heard at the site boundaries – have you had any noise complaints from neighbouring locations?	<ul style="list-style-type: none"> • Pollution Control and Local Government (Northern Ireland) Order 1978 (SI 1978/1049, NI19) • Noise Emission in the Environment by Equipment for Use Outdoors Regulations 2001 SI 1701 (as amended) • Clean Neighbourhoods and Environment Act 2011 SR 127 	General operations.			
Aspect 10: Discharge to Water					
Do you, or could you potentially discharge any substances listed in this regulation to groundwater?	<ul style="list-style-type: none"> • Groundwater Regulations (Northern Ireland) 2009 SR 254 as amended 2009 SR 359, 2011 SR 211 2016 SR119 • Groundwater (Amendment) Regulations (Northern Ireland) 2014 SR 208 • Environmental Better Regulation Act (Northern Ireland) 2016 	Activities resulting in the discharge of water.			
Do you discharge trade effluent to controlled surface waters?	<ul style="list-style-type: none"> • Pollution Prevention and Control (Industrial Emissions) Regulations (Northern Ireland) 2012 SR 453 	Discharges to surface water drainage systems that drain to streams and rivers.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	as amended 2013 SR 160 2014 SR304 2016 SR309 <ul style="list-style-type: none"> Environmental Better Regulation Act (Northern Ireland) 2016 	The Act aims to provide a more streamlined and effective regulatory system for businesses and regulators. Key provisions include:- The creation of an integrated environmental permitting regime.			
Aspect 11: Land Contamination					
Could any of your land be classified as contaminated?	<ul style="list-style-type: none"> Waste and Contaminated Land (Northern Ireland) Order 1997, SI 2778 Waste and Contaminated Land (Amendment) Act (Northern Ireland) 2011 	Legacy operations. Current operations.			
Aspect 12: Visual Amenity/Impact					
Are you planning/currently extending or refurbishing your operations?	<ul style="list-style-type: none"> Pollution Control and Local Government (Northern Ireland) Order 1978 (SI 1978/1049, NI19) Planning (General Development) Order (Northern Ireland) 1993 SR 276 and amendments Planning (Environmental Impact Assessment) (Northern Ireland) 1999 SR 73 Planning (Environmental Impact Assessment) Regulations (Northern Ireland) 2012 	Refurbishment/extension of operations.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Aspect 13: Odours					
Do your operations result in odours that can be smelt at the boundaries of the site – and have you received any complaints?	<ul style="list-style-type: none"> • Pollution Control and Local Government (Northern Ireland) Order 1978 (SI 1978/1049, NI19) • Clean Neighbourhoods and Environment Act (Northern Ireland) 2011 • Public Health (Ireland) Act 1878 	General operations.			
Aspect 14: Hazardous Materials Within Buildings					
Do you have HCFCs and HFCs in refrigeration or air conditioning systems? (HCFCs are now generally banned for use in new equipment and use in existing equipment)	<ul style="list-style-type: none"> • Regulation (EC) No 1005/2009 of on substances that deplete the ozone layer as amended 744/2010, 291/2011, 1087/2013 • EC Regulation 517/2014 on fluorinated greenhouse gases • Fluorinated Greenhouse Gases Regulations (Northern Ireland) 2015 SR 425 • Ozone-Depleting Substances (Qualifications) Regulations (Northern Ireland) 2011 SR 240 • Environmental Protection (Controls on Ozone-Depleting Substances) Regulations SI 2011/1543 • Controls of Ozone-Depleting Substances Regulations (Northern Ireland) SR 2011/239 	Refilling of fire-fighting equipment,			
Do you have HCFCs and HFCs in refrigeration or air conditioning systems? (HCFCs are now generally banned for use in new	<ul style="list-style-type: none"> • As above row 	Topping up refrigeration and air conditioning systems with HCFCs			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
equipment and use in existing equipment).					
Do you have asbestos at your location?	See Hazardous Waste Regulations under Aspect 1 Waste Management				
Do you have any PCBs at your location?	<ul style="list-style-type: none"> • Control of Asbestos Regulations (Northern Ireland) 2012 SR 179 				
Aspect 15: Ecology					
Are you situated near a site classified as a site of special scientific interest (SSSI)? Could your operations – current or planned interfere with these sites or protected wildlife on your site?	<ul style="list-style-type: none"> • Conservation (Natural Habitats etc.) Regulations (Northern Ireland) 1995 SR 380 (as amended) • Nature Conservation and Amenity Lands (Northern Ireland) Order 1985 as amended 1989 SI 492 • Environmental Liability (Prevention and Remediation) Regulations (Northern Ireland) 2009 SR 252 (as amended) • Wildlife (Northern Ireland) Order 1985 (NI 2) (including updates) • Wildlife and Natural Environment Act (Northern Ireland) 2011 	Current or planned operations.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Do you have Japanese knotweed, giant hogweed and other invasive plants on your land or property?	As above plus: <ul style="list-style-type: none"> Noxious Weeds (Northern Ireland) Order SI 1977/52 	Your land and premises. Defines ragwort, thistle, dock and wild oat as noxious weeds and places a legal responsibility on landowners to prevent the spread of these weeds.			
Are you situated near the coast?	Conservation of Habitats and Species Regulations 2017	Current or planned operations.			

2.3. Scotland

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
General Environmental Legislation					
Does your company carry out Prescribed PPC listed processes?	<ul style="list-style-type: none"> Environmental Protection Act 1990 Environment Act 1995 EU Industrial Emissions Directive 2010/75 (IED) Pollution Prevention and Control (Scotland) Regulations 2012 SSI 360 as amended 2014 	Any PPC listed process carried out at your location.			
Are you planning to extend your facilities?	<ul style="list-style-type: none"> Town and Country Planning (Scotland) Act 1997 The Town and Country Planning (Hazardous Substances) (Scotland) Regulations 2015 	Site extension.			
	<ul style="list-style-type: none"> The Environmental Impacts Assessment (Scotland) regulations 1999 (SSI 1000/1) The Environmental Assessment (Scotland) Amendment Regulations 1997 (SI 1997/1870) 	Site extension.			
	<ul style="list-style-type: none"> Planning (Scotland) Act 2019 	Site extension.			
Aspect 1: Waste Management					
Do your operations produce waste materials?	<ul style="list-style-type: none"> Environmental Protection Act 1990 	Any part of the operations resulting in the production of waste.			
	<ul style="list-style-type: none"> The Environmental Protection (Duty of Care) (Scotland) Regulations 2014 	Any part of the operations resulting in the production of waste. Covers the provision and keeping of waste transfer notes.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	<ul style="list-style-type: none"> • Controlled Waste Regulations 1992 SSI 588 • Controlled Waste (Amendment) Regulations 1993 SSI 566 • Waste (Scotland) Regulations 2005 SSI 22 • Waste (Scotland) Regulations 2011 SSI 226 • Waste (Scotland) Regulations 2012 SSI 148 • Waste Information (Scotland) Regulations 2010 SSI 435 • The Waste (Meaning of Hazardous Waste and European Waste Catalogue) (Miscellaneous Amendments) (Scotland) Regulations 2015 	<p>Any part of the operations resulting in the production of waste. Defines controlled wastes (along with the Environmental Protection Act 1990).</p>			
<p>Do your operations result in the production of wastes classified as “special”? Examples include batteries, oils and oil filters, paint and ink, chemical wastes, solvents, and special waste empty containers containing 0.01% or more of the original contents.</p>	<ul style="list-style-type: none"> • Special Waste Regulations 1996 (SI 1996/972) • Special Waste (Amendment) Regulations 1996, SI 2019 • Special Waste (Amendment) Regulations 1997, SI 251 • Special Waste (Scotland) Regulations 1997, SI 257 • Special Waste Amendment (Scotland) Regulations 2004 SSI 112 • Special Waste Amendment (Scotland) Amendment Regulations SSI 2004/204 • The Waste (Meaning of Hazardous Waste and European 	<p>Waste chemicals and their containers used during the QA checks and along the production line.</p> <p>Waste oils from hydraulic equipment or from vehicle maintenance.</p> <p>Waste batteries from all activities.</p>			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	Waste Catalogue) (Miscellaneous Amendments) (Scotland) Regulations 2015 <ul style="list-style-type: none"> • Waste Batteries (Scotland) Regulations 2009 SSI 247 • Waste Batteries and Accumulators Regulations SI 2009/890 as amended 2015 • Batteries and Accumulators (Placing on the Market) (Amendment) Regulations 2012 SI 1139 as amended 2015 				
Do you spread waste food or sludge from your effluent treatment plant on land? Or do you use a waste compactor? If yes you may require a license – although there are exceptions to this rule.	<ul style="list-style-type: none"> • Waste Management Licensing (Scotland) Regulations 2010 SSI 435 • Waste Management Licensing (Scotland) Regulations 2011 SSI228 • Waste Management Licensing (Scotland) Amendment Regulations 2016 • Waste Management Regulations 1996 SI 634 • Pollution Prevention and Control (Public Participation etc.) (Scotland) Regulations 2005 SSI 510 • Pollution Prevention and Control (Scotland) Regulations 2012 SSI 360 	Spreading of sludge on land. Use of a waste compactor. Treatment and storage of waste tyres under exemption removed			
Do you dispose of waste to landfill?	<ul style="list-style-type: none"> • The Landfill Tax (Scotland) Act 2014 	Waste disposal activities.			
Do you pack/fill packaging with product?	<ul style="list-style-type: none"> • The Packaging (Essential Requirements) Regulations 2015 	Activities associated with			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	SI 1640	packaging of goods			
Do you manufacture, convert, pack/fill, sell or import more than 50 tonnes of packaging or packaging material per year, and have a turnover of more than £2m per year?	<ul style="list-style-type: none"> • Producer Responsibility Obligations (Packaging Waste) Regulations 2007 SI 871 • Producer Responsibility Obligations (Packaging Waste) (Amendment) Regulations 2010 SI 2849 • Producer Responsibility Obligations (Packaging Waste) (Amendment) Regulations 2013 SI 1857 as amended 2014 SI 2890 • Producer Responsibility Obligations (Packaging waste) (Miscellaneous Amendments) Regulations 2016 SR 241 	Activities associated with packaging of goods.			
Do you have waste electrical and electronic equipment? (WEEE)	<ul style="list-style-type: none"> • WEEE Directive 2012/19 • The Waste Electrical and Electronic Equipment Regulations 2013 (SI 2013/3113) as amended SI 2014/1771 as amended SI 2015/1968 	Waste management of WEEE. Prioritise reuse of WEEE. Send WEEE to authorised facilities. Reduce the amount of WEEE sent to landfill.			
Aspect 2: Energy					
Are you a “large undertaking?” See Section 2 Review Record for more details	<ul style="list-style-type: none"> • The Energy Savings Opportunity Scheme Regulations 2014 (SI 2014/1643) as amended 2015 	All company/work activities that consume energy such as transport, industrial processes and buildings.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Are you involved in the construction or refurbishment of a building at your location?	<ul style="list-style-type: none"> • Building (Scotland) Act 2003 • Building (Scotland) Regulations 2004 as amended 	Construction or refurbishment of a building and the provision for the conservation of energy.			
Do you participate in the Climate Change Levy Agreement?	<ul style="list-style-type: none"> • Climate Change Levy (General) Regulations 2001 SI 838 (as amended) • Climate Change Agreements (Eligible Facilities) Regulations 2001 SI 662 • Climate Change Agreements (Eligible Facilities) Regulations 2006 SI 60 • Climate Change Agreements (Eligible Facilities) (Amendment) Regulations 2009 SI 2458 • Climate Change Agreements (Energy Intensive Installations) Regulations 2006 SI 59 • Climate Change (Scotland) Act 2009 	Use and tracking of energy consumption.			
Do you participate in the Greenhouse Gas Emissions Trading Scheme?	<ul style="list-style-type: none"> • Greenhouse Gas Emissions Trading Scheme Regulations 2012 SI 3038 amended 2013 	Use of large quantities of energy or savings in greenhouse gas emissions to trade.			
Is your electricity metered by at least one half hourly meter (HHM) and you buy on the half hourly market? And the total supply of half hourly metered electricity in 2008 was at least 6,000	<ul style="list-style-type: none"> • CRC Energy Efficiency Scheme Order 2010 SI768 • CRC Energy Efficiency Scheme (Amendment) Order 2011 SI234 • CRC Energy Efficiency Scheme Order 2013 SI 1119 	Energy Consumption throughout the organisation.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
megawatt-hours (MWh)?					
Do you lease any buildings to tenants or are planning to sell any buildings?	<ul style="list-style-type: none"> • Energy Performance of Buildings (Scotland) Regulations 2008 SSI 309 as amended 2012 as amended 2016 • Energy Act 2011 • Energy Act 2011 (commencement No.1) Scotland Order 2012 • Assessment of Energy Performance of Non-domestic Buildings (Scotland) Regulations 2016 	<p>Leasing of buildings to tenants and selling of buildings.</p> <p>Requires an inspection of air conditioning systems to be undertaken at least every 5 years and to include all accessible parts of the system. This will come into force for all buildings owned by public authorities by 1 Jan 2019, and for all other buildings on 31 December 2020.</p> <p>Aims to reduce emissions and energy use in existing non-domestic buildings. It introduces a requirement for owners of non-domestic buildings (those over 1,000 m² in area) to undertake an assessment of the greenhouse gas emissions and energy performance of their building. This will identify improvement targets for the building. The building owner must then produce a plan that will achieve these targets.</p>			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
		Improvement must be completed within 42 months of the assessment Cost neutral investment in energy efficient measures through the Green Deal (Energy Act 2011)			
Are you planning to install plant and machinery?	<ul style="list-style-type: none"> • Capital Allowances (Energy-saving Plant and Machinery) Order 2018 	The 100% first year scheme encourages business to invest in energy saving plant and machinery.			
Do you participate in the CRC Energy Efficiency Scheme?	<ul style="list-style-type: none"> • CRC Energy Efficiency Scheme (Revocation and Savings) Order 2018 	This Order revokes in the UK the CRC Energy Efficiency Scheme Order 2013 (SI 2013 No. 1119) with savings and amends the CRC Energy Efficiency Scheme Order 2010 (SI 2010 No. 768)			
Aspect 3: Water					
Do you abstract water or discharge to controlled waters?	<ul style="list-style-type: none"> • Water Industry Act 1999 • Water Environment and Water Services (Scotland) Act 2003 • Water Environment (Controlled Activities) (Scotland) Regulations 2011 SSI 209 • Water Environment (Groundwater and Priority Substances) (Scotland) Regulations 2009 SSI 420 • Water Environment (Diffuse 	Abstraction and use of water. Discharge to rivers, lakes, coastal waters etc			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	Pollution) (Scotland) Regulations SSI 2008/54 <ul style="list-style-type: none"> Water Environment (Controlled Activities) (Scotland) Amendment Regulations 2013 SSI 176 Water Resources (Scotland) Act 2013 				
Do you utilise a private water supply?	<ul style="list-style-type: none"> Water Intended for Human Consumption (Private Supplies) (Scotland) Regulations 2017 	These regulations replace the Private Water Supplies (Scotland) Regulations 2006 to take into account EU legislation on the quality of water intended for human consumption			
Aspect 4: Chemicals					
Do you store and use chemicals?	<ul style="list-style-type: none"> European Community Regulation on Classification, Labelling and Packaging of Substances and Mixtures (CLP Regulation) 1272/2008 Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulations (EC 1907/2006) as amended EU 453/2010, EU 143/2011, EU 252/2011, EU 253/2011, EU 366/2011, EU 494/2011, EU 895/2014, EU 1494/2015 REACH Enforcement Regulations 	Hazardous substances and mixtures must be classified, labelled and packaged correctly. Chemicals stored on site and used during the product quality checks and other parts of the production process.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	2008 SI2852 as amended SI 2013/2919, SI 2014/2882				
Do you use methyl bromide or any similar product?	<ul style="list-style-type: none"> Food and Environment Protection Act 1985 	Fumigation of pests.			
	<ul style="list-style-type: none"> Control of Pesticides Regulations 1986 (as amended) 	Fumigation of pests.			
	<ul style="list-style-type: none"> The Plant Protection Products (Basic Conditions) Regulations 1997, SI 189 Plant Protection Products (Scotland) Regulations 2005, as amended Plant Protection Products (Scotland) Amendment (No.2) Regulations 2006 SSI 449 Plant Protection Products (Sustainable Use) Regulations 2012 SI 1657 Possession of Pesticides (Scotland) Order 2005 SSI 66 	Fumigation of pests.			
Do you store and use chemicals?	<ul style="list-style-type: none"> Control of Substances Hazardous to Health (COSHH) Regulations 2002, SI 2677 (as amended) 	Chemicals stored on site and used during the product quality checks and other parts of the production process.			
Do you use electrical and electronic equipment (EEE) in your business?	<ul style="list-style-type: none"> RoHS European Directive 2012 Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment Regulations SI 2008/37 as amended SI 2009/581 and SI 2012/3032 	Use of electrical and electronic equipment. Ensure electrical and electronic equipment does not contain more than the specified levels of lead, cadmium, mercury and			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
		other hazardous substances set out in RoHS.			
Do you use mercury in your equipment or processes?	<ul style="list-style-type: none"> Control of Mercury (Enforcement) Regulations 2017 	Use of any mercury in operations and equipment.			
Do you use radiation within your process?	<ul style="list-style-type: none"> Environmental Authorisations (Scotland) Regulations 2018 	Current operations. Legacy operations.			
Aspect 5: Fuel					
Do you store oil (such as petrol, diesel, vegetable, synthetic or mineral oil) in a container with a storage capacity of over 200 litres (44 gallons)?	<ul style="list-style-type: none"> Water Environment (Oil Storage) (Scotland) Regulations 2006 SSI 133 Water (Prevention of Pollution) (Code of Practice) (Scotland) Order 2005 SSI 63 	Oil storage			
Do you store or expect to store more than 50 tonnes of Liquid Petroleum Gas (LPG)?	<ul style="list-style-type: none"> The Control of Major Accident Hazards Regulations 2015 SI 483 	LPG Storage			
Aspect 6: Raw Materials					
Covered under other aspects					
Aspect 7: Transport					
Do you transport waste materials?	<ul style="list-style-type: none"> Control of Pollution (Amendment) Act 1989 	Transportation of controlled wastes and the registration of waste carriers.			
	<ul style="list-style-type: none"> Controlled Waste (Registration of Carriers and Seizure of Vehicles) Regulations 1991 (SI 1991/1624) 	Transportation of controlled wastes and the registration of waste carriers.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Aspect 8: Air Quality					
Do your operations result in the generation of dust?	<ul style="list-style-type: none"> Statutory Nuisance (Appeals) (Scotland) Regulations 1996, SI 1076 	General operations e.g. boilers, flour dust emissions			
	<ul style="list-style-type: none"> Clean Air Act 1993 	General operations e.g. boilers, flour dust emissions			
Do you release any other emissions to the atmosphere?	<ul style="list-style-type: none"> Environment Act 1995 Clean Air (Emissions of Dark Smoke) (Exemption) (Scotland) Regulations 1969 SI 1389 Notification of Cooling Towers and Evaporative Condensers Regulations 1992 SI 225 	General operations e.g. boilers			
Aspect 9: Noise and Vibration					
Do your operations result in noise which can be heard at the site boundaries – have you had any noise complaints from neighbouring locations?	<ul style="list-style-type: none"> Noise and Statutory Nuisance Act 1993 	General operations.			
	<ul style="list-style-type: none"> Environmental Protection Act 1990 	General operations.			
	<ul style="list-style-type: none"> Environment Act 1995 	General operations.			
Aspect 10: Discharges to Water					
Do you discharge any sewage, trade effluent or contaminated surface water to “controlled waters”?	<ul style="list-style-type: none"> Control of Pollution Act 1974 Environmental Liability (Scotland) Regulations 2009 as amended 2015 	Activities resulting in the discharges to controlled waters.			
Do you, or could you potentially discharge any listed substances listed in this regulation to groundwater?	<ul style="list-style-type: none"> The Groundwater Regulations 1998 SI 2746 Water Environment (Groundwater and Priority Substances) (Scotland) Regulations 2009 SSI 420 	Activities resulting in the discharge of water to groundwater.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Do you discharge any substance listed in this regulation to a public sewer?	<ul style="list-style-type: none"> Sewerage (Scotland) Act 1968 Water Industry Act 1999 	Discharges to sewers.			
Aspect 11: Land Contamination					
Could any of your land be classified as contaminated?	<ul style="list-style-type: none"> Environmental Protection Act 1990 	Current operations. Legacy operations.			
	<ul style="list-style-type: none"> The Contaminated Land (Scotland) Regulations 2000, SI 178 	Current operations. Legacy operations.			
	<ul style="list-style-type: none"> The Contaminated Land (Scotland) Regulations 2005, SSI 658 				
Aspect 12: Visual Amenity/Impact					
Are you planning/currently extending or refurbishing your operations?	<ul style="list-style-type: none"> Town and Country Planning (Scotland) Act 1997 	Refurbishment/extension of operations.			
Aspect 13: Odours					
Do your operations result in odours that can be smelt at the boundaries of the site – and have you received any complaints?	<ul style="list-style-type: none"> Environmental Protection Act 1990 	General operations.			
	<ul style="list-style-type: none"> Noise and Statutory Nuisance Act 1993 	General operations.			
Aspect 14: Hazardous Materials Within Buildings					
Do you have any prescribed ozone depleting gasses that are due to be phased out?	<ul style="list-style-type: none"> EU Regulation 2037/2000 on Substances that Deplete the Ozone Layer The Ozone-Depleting Substances Regulations 2015 SI 168 The Fluorinated Greenhouse Gases Regulations 2015 SI 310 	Refilling of fire fighting equipment.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
Do you use of HCFCs in refrigeration or air conditioning systems? HCFCs are now general banned for use in new equipment and use in existing equipment will be phased out in the future.	<ul style="list-style-type: none"> • EU Regulation on ozone depleting substances 1005/2009 • EU Regulation 744/2010 amending EU Regulation 1005/2009 • EU Regulation 744/2010 amending EU Regulation 1005/2009 on ozone depleting substances • Environmental Protection (Controls on Ozone-Depleting Substances) Regulations 2002 SI 528 • The Ozone-Depleting Substances Regulations 2015 SI 168 • The Fluorinated Greenhouse Gases Regulations 2015 SI 310 	Topping up refrigeration and air conditioning systems with HCFCs			
Do you have asbestos at your location?	See hazardous waste section as well	Management, refurbishment and demolition of buildings			
Do you have any PCBs at your location?	<ul style="list-style-type: none"> • Control of Asbestos Regulations 2012 	Decommissioning of old equipment.			
Aspect 15: Ecology					
Are you situated near a site classified as a site of special scientific interest (SSSI)? Could your operations – current or planned interfere with these sites?	<ul style="list-style-type: none"> • The National Parks Scotland Act 2000 • The Wildlife and Countryside Act 1981 SI 69 • The Wildlife and Countryside Amendment Act 1991 • Environmental Liability (Scotland) Regulations 2009 • Wildlife and Natural Environment 	Current or planned operations.			

Is this relevant to your organisation?	Legislation/Code of Practice	Applicable to which process/activity	Location of document	Persons responsible for compliance	Relevant procedures
	<p>(Scotland) Act 2011</p> <ul style="list-style-type: none"> • Wildlife and Natural environment (Scotland) act 2011 (Commencement No.3) Order 2012 • Conservation (Natural Habitats etc.) Regulations 1994 SI No. 2716 • Conservation (Natural Habitats etc.) (Amendements) Regulations 2004 SI 475 • Conservation (Natural Habitats etc.) (Amendements) Regulations 2007 SI 1843 • Conservation (Natural Habitats etc.) (Amendements) Regulations 2007 SSI 80 • Nature Conservation (Scotland) Act 2004 (Auth Op) Order 2011 				
Do you have issues with injurious weeds on your premises?	<p>As above plus:</p> <ul style="list-style-type: none"> • Weeds Act 1959 	<p>Your land and premises.</p> <p>Land occupiers where injurious weeds (including ragwort) are growing can be ordered to take action to stop them spreading and fined if the order is not complied with.</p>			
Are you situated near the coast?	Conservation of Habitats and Species Regulations 2017	Current or planned operations.			

Suggested Format for an ISO14001/EMAS Register of Legislation

Aspect 1: Waste Management

<p>Legislation</p> <p>Waste (England and Wales) Regulations 2011 SI 988 as amended</p>
<p>Source of Legislation</p> <p>UK Legislation</p>
<p>Location of Legislation</p> <p>Paper copy held in Safety and Environment Office</p>
<p>Aims/Compliance Requirements</p> <p>These Regulations make it a duty for both the transferor and transferee to keep written descriptions of waste and transfer notes. In this way it aims to ensure that all waste is stored and disposed of responsibly, that it is only handled or dealt with by individuals or companies that are authorised to deal with it.</p>
<p>Relevant Operations</p> <p>Any operation that results in the production of controlled waste.</p>
<p>Actions Arising</p> <ul style="list-style-type: none"> • Written descriptions and transfer notes must be kept for all controlled waste transferred from the company. • Managers responsible for the transfer of waste should ensure that the vehicles used to transport waste are fully licensed.
<p>Relevant Personnel and their Responsibilities</p> <p>Head of Safety and Environment – storage of transfer notes and assurance of licensed nature of contractors. Operators – responsible for obtaining the transfer notes.</p>
<p>Related Procedures</p> <p>Waste management procedure, 2015</p>
<p>Issued by: Head of Safety and Environment Date: June 2015</p>